

Internal Audit

Quarterly Rolling Programme 2015/16 (as at 1st January 2016)

Area of Work	Status	Q1 - 3 Apr – Dec (days)	Q4 Jan - Mar (days)
A. Completion of assurance audits commenced in 2014/15			
Payroll (14/0925)	Complete	4	0
National Fraud Initiative (14/0917)	Ongoing	5	3
B. New assurance audits 2015/16			
Rent deposit scheme (14/0943)	Complete	7	0
HMP cash collection and banking (15/0947)	Complete	4	0
Housing Benefit subsidy claims (Lancaster CC and Preston CC)	Complete	26	0
Value Added Tax	Complete	10	0
Public health funerals	Complete	12	0
Annual governance review and statement 2014/15	Complete	10	0
Ringo cashless parking system	Ongoing	6	2
Stores	Ongoing	10	6
Port health	Ongoing	9	3
Annual governance review and statement 2015/16	Ongoing	1	5
National Fraud Initiative – Single Person Discount	Ongoing	7	1
Proactive counter fraud work	Ongoing	1	2
Safeguarding	Proposal	2	10
Financial management	Proposal	2	13
C. Proposed assurance audits (from the following)			
Equality and diversity			53
Asset management			
Engineering services succession planning			
Contract management			
Anti-social behaviour			
Local Plan production			
D. Follow-up of assurance audits	Ongoing	54	10
Sub-total, Assurance Work (Sections A-D)		170	108
E. Completion of audits for LDNPA commenced in 2014/15	Complete	11	0

Area of Work	Status	Q1 - 3 Apr – Dec (days)	Q4 Jan - Mar (days)
F. Support Work and Advice			
RIPA monitoring (13/0509)	Ongoing	1	0
Financial regulations (14/0940)	Ongoing	9	0
Risk Management Strategy and Assurance Framework development	Ongoing	9	6
Ad-hoc advice	Ongoing	51	9
G. Investigations	Ongoing	28	3
H. Audit management, etc.	Ongoing	60	8
I. Non-audit duties	Ongoing	28	9
Total Chargeable		367	143